

CASREF, ECF

U.S. District Court
United States District Court for the Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:06-cv-02353-RMB-FM

Ellington Overseas Partners, Ltd. et al v. HSBC Securities
(USA) Inc.

Assigned to: Judge Richard M. Berman
Referred to: Magistrate Judge Frank Maas
Demand: \$5,100,000
Cause: 28:1332 Diversity-Other Contract

Date Filed: 03/27/2006

Jury Demand: None

Nature of Suit: 190 Contract: Other

Jurisdiction: Diversity

Plaintiff

Ellington Overseas Partners, Ltd.

represented by **Andrew W. Goldwater**
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Plaintiff

Ellington Long Term Fund, Ltd.

represented by **Andrew W. Goldwater**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Phillip Charles Landrigan
(See above for address)
LEAD ATTORNEY
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V.

Defendant**HSBC Securities (USA) Inc.**

represented by **Anthony L. Paccione**
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Date Filed	#	Docket Text
05/07/2007		Minute Entry for proceedings held before Judge Richard M. Berman : Status Conference held on 5/7/2007. Joint pretrial order by July 23, 2007 (including any motions) response by August 13, 2007. Status conference July 26, 2007 at 10:00 a.m. (js) (Entered: 05/14/2007)
04/25/2007		***REJECTION OF ATTEMPTED PAPER FILING IN ECF CASE. The following document(s) Plaintiff's Response to HSBC's Second Request for Documents by Phillip C. Landrigan, was rejected by the Clerk's Office and must be FILED ELECTRONICALLY on the Court's ECF System. (tve) (Entered: 05/08/2007)
04/10/2007		Minute Entry for proceedings held before Judge Frank Maas : Telephone Conference held on 4/10/2007. (jco) (Entered: 04/13/2007)
03/19/2007		Set/Reset Hearings: Pretrial/Settlement Conference set for 5/7/2007 03:30 PM before Judge Richard M. Berman. (db) (Entered: 03/20/2007)
03/19/2007	23	ENDORSED LETTER addressed to Judge Richard M. Berman from Phillip C. Landrigan dated 3/16/07 re: Application GRANTED. Pretrial conference/Settlement conference with principals on 5/7/07 at 3:30. Parties to negotiate settlement in good faith prior to the conference. (3/28/07 date vacated). (Signed by Judge Richard M. Berman on 3/19/07) (db) (Entered: 03/20/2007)
03/16/2007	22	AGREED PROTECTIVE ORDER: regarding procedures to be followed that shall govern the handling of confidential material. (As Amended on Pg5.) The Court retains discretion whether to afford confidential treatment to any confidential document or information contained in any confidential document submitted to the Court in connection with any motion, application, or proceeding that may result in an order and/or decision by the Court. (Signed by Judge Richard M. Berman on 3/16/07) (db) (Entered: 03/19/2007)
02/27/2007		Set/Reset Hearings: Telephone Conference set for 4/10/2007 10:00 AM before Magistrate Judge Frank Maas. (cd) (Entered: 02/28/2007)
02/27/2007	20	ORDER that a further telephone conference shall be held on 4/10/07, at 10 am, to be initiated by plntfs' counsel to (212) 805-6727. (Signed by

		Judge Frank Maas on 2/26/07) (cd) (Entered: 02/28/2007)
02/26/2007	21	Minute Entry for proceedings held before Judge Frank Maas : Telephone Conference held on 2/26/2007. (jar) (Entered: 03/06/2007)
02/07/2007	19	NOTICE OF APPEARANCE by Andrew W. Goldwater on behalf of Ellington Overseas Partners, Ltd., Ellington Long Term Fund, Ltd. (Goldwater, Andrew) (Entered: 02/07/2007)
02/02/2007		Set Deadlines/Hearings: Telephone Conference set for 2/26/2007 10:00 AM before Magistrate Judge Frank Maas. (pl) (Entered: 02/05/2007)
02/02/2007	18	ENDORSED LETTER addressed to Mag. Judge Frank Maas from Anthony L. Paccione dated 2/1/07 re: dft's request a conference for the end of February so the parties can report any problems that they are encountering with discovery; So Ordered, It seems to me that this letter adequately address the issues raised in Mr. Landrigan's 1/29/07 letter. Nevertheless, the Court will hold a telephone conference on 2/26/07 @10:00 a.m. Mr. Landrigan should initiate the call. (Signed by Judge Frank Maas on 2/2/07) (pl) (Entered: 02/05/2007)
01/22/2007		Set/Reset Hearings: Telephone Conference set for 3/26/2007 10:00 AM before Magistrate Judge Frank Maas. (cd) (Entered: 01/23/2007)
01/22/2007		Set/Reset Scheduling Order Deadlines: Discovery due by 4/30/2007. (cd) (Entered: 01/23/2007)
01/22/2007	17	ENDORSED LETTER addressed to Judge Maas from Anthony Paccione dated 1/22/07 re request to extend fact discovery until 3/30/07 and to extend the expert disclosure deadline to 4/30/07: approved, additionally, the 2/20/07 telephone conf is adjourned to 3/26/07 at 10 am. (Signed by Judge Frank Maas on 1/22/07) (cd) (Entered: 01/23/2007)
01/17/2007	16	ENDORSED LETTER addressed to Judge Richard M. Berman from Anthony L. Paccione dated 1/12/2007 re: to we respectfully request that Your Honor schedule a pre-motion conference at the Court's convenience. ENDORSEMENT: Pls to respond with 2-3pp (double space) letter by 1/24/07. Conference on 1/31/07 at 10:45 at which counsel should be prepared to discuss all case related motion practice. SO ORDERED. (Signed by Judge Richard M. Berman on 1/17/2007) (jmi) (Entered: 01/18/2007)
01/09/2007	15	ORDER that plaintiffs' application to compel the production of documents responsive to their document request nos. 4-8 is granted; the plaintiffs' application to compel the production of documents responsive to their document request nos. 9-11 and 16 is denied without prejudice. (Signed by Judge Frank Maas on 1/8/07) (dle,) (Entered: 01/10/2007)
01/08/2007		Minute Entry for proceedings held before Judge Frank Maas : Discovery Hearing held on 1/8/2007. (jco) (Entered: 01/22/2007)
01/04/2007	14	ENDORSED LETTER addressed to Magistarte Judge Frank Maas from Phillip Lendrigan dated 12/18/06 re: Ellington requests an order directing HSBC to respond fully to the requests identified above.

		ENDORSEMENT: The Court will hold a conference to discuss the issues raised in this letter and HSBC securities response on 1/10/07 at 5 p.m. in Courtroom 20A. If this is not a convenient date and time counsel should place a conference call to my chambers to reschedule. So Ordered. (Signed by Judge Frank Maas on 1/2/07) (js,) (Entered: 01/05/2007)
12/19/2006	13	MOTION to Compel <i>Disclosure of Documents</i> . Document filed by Ellington Long Term Fund, Ltd.. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D)(Landrigan, Phillip) (Entered: 12/19/2006)
12/08/2006		Minute Entry for proceedings held before Judge Frank Maas : Telephone Conference held on 12/8/2006. (jar,) (Entered: 12/22/2006)
12/08/2006		Set/Reset Hearings: Telephone status Conference set for 2/20/2007 10:00 AM before Magistrate Judge Frank Maas. (cd,) (Entered: 12/11/2006)
12/08/2006	12	ORDER that on or before 12/20/06, the plntfs shall submit a letter to the Court regarding the parties' discovery dispute; on or before 12/27/06, the deflt shall respond to the plntfs letter; the telephone status conf is adjourned to 2/20/07, at 10 am. Plntfs' counsel shall initiate the call using the main number for chambers which is (212) 805-6727. Any request for an adjournment shall be made in writing, at least 48 hours prior to the conference. (Signed by Judge Frank Maas on 12/8/06) (cd,) (Entered: 12/11/2006)
11/29/2006		Minute Entry for proceedings held before Judge Frank Maas : Telephone Conference held on 11/29/2006. (jar,) (Entered: 12/05/2006)
11/29/2006	11	ORDER Amended Pleadings due by 12/15/2006. Telephone Status Conference set for 2/19/2007 10:00 AM before Magistrate Judge Frank Maas. Telephone Conference set for 12/8/2006 10:00 AM before Magistrate Judge Frank Maas. So Ordered. (Signed by Judge Frank Maas on 11/29/06) (jco,) (Entered: 11/30/2006)
11/16/2006	10	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General PreTrial (includes scheduling, discovery, non-dispositive pretrial motions, and settlement). Referred to Magistrate Judge Frank Maas. (Signed by Judge Richard M. Berman on 11/15/2006) (jmi,) (Entered: 11/17/2006)
11/13/2006		Set Deadlines/Hearings: Joint Pretrial Order due by 3/19/2007. Next Status Conference set for 3/28/2007 09:30 AM before Judge Richard M. Berman. (ae,) (Entered: 11/15/2006)
11/13/2006		Minute Entry for proceedings held before Judge Richard M. Berman : Status Conference held on 11/13/2006. Pretrial findings of fact and conclusions of law; affidavits of witnesses for direct examination and joing pretrial order due 3/19/07.Next status conference set 3/28/07 at 9:30 a.m. (ae,) (Entered: 11/15/2006)
11/13/2006	9	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate

		Judge for general pretrial (includes scheduling, discovery, non dispositive pretrial motions, and settlement). Referred to Magistrate Judge Frank Maas. (Signed by Judge Richard M. Berman on 11/13/06) (dle,) (Entered: 11/14/2006)
11/06/2006	8	NOTICE of Request for Conference. Document filed by Ellington Long Term Fund, Ltd.. (Landrigan, Phillip) (Entered: 11/06/2006)
05/05/2006	7	ANSWER to Complaint. Document filed by HSBC Securities (USA) Inc..(Paccione, Anthony) (Entered: 05/05/2006)
05/05/2006	6	RULE 7.1 DISCLOSURE STATEMENT. Document filed by HSBC Securities (USA) Inc..(Paccione, Anthony) (Entered: 05/05/2006)
05/02/2006	5	CASE MANAGEMENT PLAN: Amended Pleadings due by 6/30/2006. Joinder of Parties due by 7/31/2006. Discovery due by 9/29/2006. Settlement Conference set for 10/16/2006 at 09:45 AM before Judge Richard M. Berman. (Signed by Judge Richard M. Berman on 5/2/2006) (lb,) (Entered: 05/02/2006)
04/24/2006		Set Answer Due Date purs. to 4 Stipulation and Order, as to HSBC Securities (USA) Inc. answer due on 5/5/2006. (djc,) (Entered: 04/24/2006)
04/24/2006	4	STIPULATION AND ORDER; the time by which defendant, HSBC Securities Inc may move, answer or otherwise respond to the complaint herein shall be extended through and until May 5, 2006. See Rule re: Motions (Signed by Judge Richard M. Berman on 4/20/06) (djc,) (Entered: 04/24/2006)
04/03/2006	3	AFFIDAVIT OF SERVICE. HSBC Securities (USA) Inc. served on 3/28/2006, answer due 4/17/2006. Service was accepted by Theresa Polizzi. Document filed by Ellington Long Term Fund, Ltd.. (Attachments: # 1 Affidavit # 2 # 3)(Landrigan, Phillip) (Entered: 04/03/2006)
03/27/2006		Case Designated ECF. (laq,) (Entered: 03/28/2006)
03/27/2006		Magistrate Judge Frank Maas is so designated. (laq,) (Entered: 03/28/2006)
03/27/2006	2	RULE 7.1 DISCLOSURE STATEMENT. Document filed by Ellington Overseas Partners, Ltd., Ellington Long Term Fund, Ltd.(laq,) (Entered: 03/28/2006)
03/27/2006		SUMMONS ISSUED as to HSBC Securities (USA) Inc. (laq,) (Entered: 03/28/2006)
03/27/2006	1	COMPLAINT against HSBC Securities (USA) Inc. (Filing Fee \$ 250.00, Receipt Number 568122)Document filed by Ellington Overseas Partners, Ltd., Ellington Long Term Fund, Ltd.(laq,) (Entered: 03/28/2006)

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